

PATENT  
Attorney Docket No. A-70586-1/RFT/RMS/RMKIN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re application of:

SPRINGER, et al.

Serial No.: 09/902,481

Filed: July 9, 2001

For: NOVEL PROTEINS WITH  
INTEGRIN-LIKE ACTIVITY

Examiner: To be assigned

Group: 1653

Certificate of MailingI hereby certify that this correspondence, including listed  
enclosures are being deposited with the United States Postal  
Service as First class Mail in an envelope addressed to : Assistant  
Commissioner for Patents, Washington D.C. 20231.Signed: Mary M Farland

Mary McFarland

Date: December 4, 2001RECEIVED 10/12/2001  
1:58 PM  
-8RESPONSE TO NOTICE TO FILE MISSING PARTSBOX MISSING PARTS/FEE  
Assistant Commissioner for Patents  
Washington, DC 20231

RECEIVED

APR 09 2002

Sir:

TECH CENTER 1600/2900

In response to the Notice to File Missing Parts of Nonprovisional Application mailed

September 5, 2001, enclosed are the following documents:

- a) Check in the amount of \$1115. for;

Basic Filing Fee (Small Entity) \$ 370.00

Multiple Dependent Claim Surcharge \$ 140.00

Independent Claims over 3 \$ 126.00

Total Claims over 20 \$ 414.00

Missing Parts Surcharge \$ 65.00

- b) Copy of the Notice to File Missing Parts of Nonprovisional Application;
- 
- c) Declaration for Patent Application;
- 
- d) Power of Attorney (Center for Blood Research);
- 
- e) Power of Attorney (California Institute of Technology);
- 
- e) Twelve (12) Sheets of Formal Drawings;

USSN 09/902,481  
Filed: July 9, 2001

f) Return Postcard;

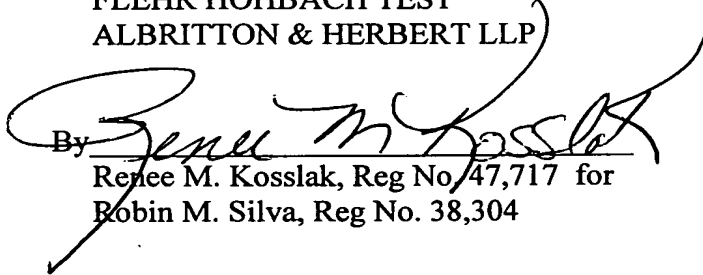
✓ Applicant claims small entity status. See 37 CFR 1.27.

Although Applicant does not believe any additional fees are required, the Assistant Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-70586-1/RFT/RMS/RMK).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Dated: 12/4/01

By   
Renee M. Kossak, Reg No. 47,717 for  
Robin M. Silva, Reg No. 38,304

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,481	07/09/2001	Springer	A-70586-1/RFT/RMS/RMK

CONFIRMATION NO. 5918

## FORMALITIES LETTER



\*OC000000006515952\*

FLEHR, HOHBACH, TEST, ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 09/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1338.
  - \$828 for 46 total claims over 20.
  - \$240 for 3 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2178.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice MUST be returned with the reply.*

Charlene A. Reddy  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

# **APPLICATION SERIAL NUMBER**

**09902481**

**DOES NOT COMPLY WITH THE  
SEQUENCE RULES. See reasons below.**

**CRF, paper copy of sequence listing and  
statement that both are same missing.**

**The sequences disclosed on page 29, lines 1-  
7, of the specification need to be in CRF  
and paper copy of the sequence listing, but  
are missing.**

A handwritten signature in black ink, appearing to read "Kevin". The signature is written in a cursive style with a large initial "K" and a long horizontal stroke at the end.

0410

PATENT

Attorney Docket No. A-70586-1/RFT/RMS/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re application of:

SPRINGER, et al.

Serial No.: 09/902,481

Filed: July 9, 2001

For: NOVEL PROTEINS WITH  
INTEGRIN-LIKE ACTIVITY

Examiner: To be assigned

Group: 1653

CERTIFICATE OF HAND DELIVERYI hereby certify that this correspondence is being hand-  
delivered in an envelope addressed to the Customer Service  
Window, Room 1B03 Crystal Plaza Building 2, Arlington,  
Virginia 22202, on 4/9/02Signed: Reggie MitchellRECEIVED  
TECH CENTER 1600/2900  
APR - 8 11:58RESPONSE TO REQUEST FOR SUBSTITUTE PAPERSCustomer Service Window  
Room 1B03  
Crystal Plaza Building 2  
Arlington, Virginia 22202

RECEIVED

APR 09 2002

TECH CENTER 1600/2900

Sir:

In response to the Request for Substitute Papers mailed March 22, 2002, enclosed are the following documents:

- a) Request for Substitute Papers;
- b) Copy of Date Stamped Postcard;
- c) Copy of Petition for Extension of Time;
- d) Copy of the Notice to File Missing Parts of Nonprovisional Application;
- e) Copy of Declaration for Patent Application;
- f) Copy of Power of Attorney (Center for Blood Research);
- g) Copy of Power of Attorney (California Institute of Technology);
- h) Twelve (12) Sheets of Formal Drawings;

Although Applicant does not believe any additional fees are required, the Assistant Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-70586-1/RFT/RMS/RMK).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Dated: 4/5/02

By 

Renee M. Kosslak, Reg No. 47,717 for  
Robin M. Silva, Reg No. 38,304

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989

1079391